

520.35237VX3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: T. KAJI et al
Application No.: 10/052,538
Filed: January 23, 2002
For: PLASMA PROCESSING APPARATUS PROCESSING
METHOD
Art Unit: 1763
Examiner: A. CROWELL

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 & 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

April 13, 2007

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of a document cited in a communication from a foreign patent office in a counterpart foreign application in the attached form PTO/SB/08A for the Examiner's consideration.

This Information Disclosure Statement is being submitted before the mailing date of either a final action or a Notice of Allowance and is accompanied by the following certification specified in 37 CFR §1.97(e):

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.


Although the Japanese document listed on the attached form PTO/SB/08A is not in the English language, the requirement of 37 CFR §1.98(a)(3) for a concise

explanation of the relevance is satisfied by the English Abstract and the listing of U.S. Patent 6,074,518 which corresponds to the Japanese document.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (520.35237VX3) and, please credit any excess fees to such deposit account.

Respectfully submitted,
ANTONELLI, TERRY, STOUT & KRAUS, LLP

By 

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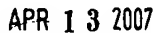


DOCUMENT LIST

(NTT007US4)

The following reference was cited in Office Action mailed on February 27, 2007 of corresponding Japanese patent application, NO 2002-155499 filed on February 28, 1997 of which priority date is March 1, 1996.

	COUN -TRY	DOCUMENT NO.	PUBLICATION DATE	Attached Copy	
1	JP	H08-264515 A	October 11, 1996	①English Abstract of the publication	②(Family patent) US 6,074,518



Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/052,538
Filing Date	1/23/2002
First Named Inventor	T. KAJI
Art Unit	1763
Examiner Name	A. CROWELL
Attorney Docket Number	520.35237VX3

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP H08-264515 A	10-11-1996	Imafuku et al	(= USP 6,074,518)	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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